



MTConnect<sup>®</sup> Standard  
Part 3 – Streams, Events, Samples,  
and Condition  
Version 1.3.0

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# 1 Overview

MTConnect<sup>®</sup> is a standard based on an open protocol for data integration. MTConnect<sup>®</sup> is not intended to replace the functionality of existing products, but it strives to enhance the data acquisition capabilities of devices and applications and move toward a plug-and-play environment to reduce the cost of integration.

MTConnect<sup>®</sup> is built upon the most prevalent standards in the manufacturing and software industries, maximizing the number of tools available for its implementation and providing the highest level of interoperability with other standards and tools in these industries.

To facilitate this level of interoperability, a number of objectives are being met. Foremost is the ability to transfer data via a standard protocol which includes:

- A device identity (i.e. model number, serial number, calibration data, etc.).
- The identity of all the independent components of the device.
- Possibly a device's design characteristics (i.e. axis length, maximum speeds, device thresholds, etc.).
- Most importantly, data captured in real or near-real-time (i.e. current speed, position data, temperature data, program block, etc.) by a device that can be utilized by other devices or applications (e.g. utilized by maintenance diagnostic systems, management production information systems, CAM products, etc.).

The types of data that may need to be addressed in MTConnect<sup>®</sup> could include:

- Physical and actual device design data
- Measurement or calibration data
- Near-real-time data from the device

To accommodate the vast amount of different types of devices and information that may come into play, MTConnect<sup>®</sup> will provide a common high-level vocabulary and structure.

The first version of MTConnect<sup>®</sup> focused on a limited set of the characteristics that were selected based on the fact that they could have an immediate effect on the efficiency of operations. Subsequent versions of the standard have and will continue to add additional functionality to more completely define the manufacturing environment.

## 40 1.1 MTConnect<sup>®</sup> Document Structure

41 The MTConnect<sup>®</sup> specification is subdivided using the following scheme:

42 Part 1: Overview and Protocol

43

44 Part 2: Components and Data Items

45

46 Part 3: Streams, Events, Samples, and Condition

47

48 Part 4: Assets

49

50 These four documents are considered the basis of the MTConnect Standard. Information  
51 applicable to basic machine and device types will be included in these documents. Additional  
52 parts to the standard will be added to provide information and extensions to the standard focused  
53 on specific devices, components, or technologies considered requiring separate emphasis. All  
54 information specific to the topic of each additional part **MUST** be included within that document  
55 even when it is subject matter of one of the base parts of the standard.

56

57 Documents will be named (file name convention) as follows:

58 MTC\_Part\_<Number>\_<Description>.doc.

59 For example, the file name for Part 2 of the standard is MTC\_Part\_2\_Components.doc.

60 All documents will be developed in Microsoft<sup>®</sup> Word format and released in Adobe<sup>®</sup> PDF  
61 format.

## 62 2 Purpose of This Document

63 The four base MTConnect<sup>®</sup> documents are intended to:

- 64 • define the MTConnect<sup>®</sup> standard;
- 65
- 66
- 67 • specify the requirements for compliance with the MTConnect<sup>®</sup> standard;
- 68
- 69 • provide engineers with sufficient information to implement *Agents* for their devices;
- 70
- 71 • provide developers with the necessary guidelines to use the standard to develop applications.

72 Part 1 of the MTConnect Standard provides an overview of the MTConnect Architecture and the  
73 Protocol; including communications, fault tolerance, connectivity, and error handling require-  
74 ments.

75 Part 2 of the MTConnect<sup>®</sup> standard focuses on the data model and description of the information  
76 that is available from the device. The descriptive data defines how a piece of equipment should  
77 be modeled, the structure of the component hierarchy, the names for each component (if  
78 restricted), and allowable data items for each of the components.

79 Part 3 of the MTConnect standard focuses on the data returned from a `current` or `sample`  
80 request (for more information on these requests, see Part 1). This section covers the data  
81 representing the state of the machine.

82 Part 4 of the MTConnect<sup>®</sup> standard provides a semantic model for entities that are used in the  
83 manufacturing process, but are not considered to be a device nor a component. These entities are  
84 defined as MTConnect<sup>®</sup> Assets. These assets may be removed from a device without detriment  
85 to the function of the device, and can be associated with other devices during their lifecycle. The  
86 data associated with these assets will be retrieved from multiple sources that are responsible for  
87 providing their knowledge of the asset. The first type of asset to be addressed is Tooling.

### 88 2.1 Terminology

89	<b>Adapter</b>	An optional software component that connects the Agent to the Device.
90	<b>Agent</b>	A process that implements the MTConnect <sup>®</sup> HTTP protocol, XML generation, 91 and MTConnect protocol.
92	<b>Alarm</b>	An alarm indicates an event that requires attention and indicates a deviation 93 from normal operation. Alarms are reported in MTConnect as <code>Condition</code> .
94	<b>Application</b>	A process or set of processes that access the MTConnect <sup>®</sup> <i>Agent</i> to perform 95 some task.
96	<b>Attribute</b>	A part of an XML element that provides additional information about that 97 XML element. For example, the name XML element of the <code>Device</code> is given 98 as <code>&lt;Device name="mill-1"&gt;...&lt;/Device&gt;</code>

99	<b>CDATA</b>	The text in a simple content element. For example, <i>This is some text</i> ,
100		in <Message ...>This is some text</Message>.
101	<b>Component</b>	A part of a device that can have sub-components and data items. A
102		component is a basic building block of a device.
103	<b>Controlled Vocabulary</b>	The value of an element or attribute is limited to a restricted set of
104		possibilities. Examples of controlled vocabularies are country codes: US, JP,
105		CA, FR, DE, etc...
106	<b>Current</b>	A snapshot request to the <i>Agent</i> to retrieve the current values of all the data
107		items specified in the path parameter. If no path parameter is given, then the
108		values for all components are provided.
109	<b>Data Item</b>	A data item provides the descriptive information regarding something that can
110		be collected by the <i>Agent</i> .
111	<b>Device</b>	A piece of equipment capable of performing an operation. A device may be
112		composed of a set of components that provide data to the application. The
113		device is a separate entity with at least one component or data item providing
114		information about the device.
115	<b>Discovery</b>	Discovery is a service that allows the application to locate <i>Agents</i> for devices
116		in the manufacturing environment. The discovery service is also referred to as
117		the <i>Name Service</i> .
118	<b>Event</b>	An event represents a change in state that occurs at a point in time. Note: An
119		event does not occur at predefined frequencies.
120	<b>HTTP</b>	Hyper-Text Transport Protocol. The protocol used by all web browsers and
121		web applications.
122	<b>Instance</b>	When used in software engineering, the word <i>instance</i> is used to define a
123		single physical example of that type. In object-oriented models, there is the
124		class that describes the thing and the instance that is an example of that thing.
125	<b>LDAP</b>	Lightweight Directory Access Protocol, better known as Active Directory in
126		Microsoft Windows. This protocol provides resource location and contact
127		information in a hierarchal structure.
128	<b>MIME</b>	Multipurpose Internet Mail Extensions. A format used for encoding multipart
129		mail and http content with separate sections separated by a fixed boundary.
130	<b>Probe</b>	A request to determine the configuration and reporting capabilities of the
131		device.
132	<b>REST</b>	REpresentational State Transfer. A software architecture where the client and
133		server move through a series of state transitions based solely on the request
134		from the client and the response from the server.



135	<b>Results</b>	A general term for the <code>Samples</code> , <code>Events</code> , and <code>Condition</code> contained in a <code>ComponentStream</code> as a response from a sample or current request.
136		
137	<b>Sample</b>	A sample is a data point from within a continuous series of data points. An example of a <code>Sample</code> is the position of an axis.
138		
139	<b>Socket</b>	When used concerning inter-process communication, it refers to a connection between two end-points (usually processes). Socket communication most often uses TCP/IP as the underlying protocol.
140		
141		
142	<b>Stream</b>	A collection of <code>Events</code> , <code>Samples</code> , and <code>Condition</code> organized by devices and components.
143		
144	<b>Service</b>	An application that provides necessary functionality.
145	<b>Tag</b>	Used to reference an instance of an XML element.
146	<b>TCP/IP</b>	TCP/IP is the most prevalent stream-based protocol for inter-process communication. It is based on the IP stack (Internet Protocol) and provides the flow-control and reliable transmission layer on top of the IP routing infrastructure.
147		
148		
149		
150	<b>URI</b>	Universal Resource Identifier. This is the official name for a web address as seen in the address bar of a browser.
151		
152	<b>UUID</b>	Universally unique identifier.
153	<b>XPath</b>	XPath is a language for addressing parts of an XML Document. See the XPath specification for more information. <a href="http://www.w3.org/TR/xpath">http://www.w3.org/TR/xpath</a>
154		
155	<b>XML</b>	Extensible Markup Language. <a href="http://www.w3.org/XML/">http://www.w3.org/XML/</a>
156	<b>XML Schema</b>	The definition of the XML structure and vocabularies used in the XML Document.
157		
158	<b>XML Document</b>	An instance of an XML Schema which has a single root XML element and conforms to the XML specification and schema.
159		
160	<b>XML Element</b>	An element is the central building block of any XML Document. For example, in MTConnect <sup>®</sup> the Device XML element is specified as <code>&lt;Device&gt;...&lt;/Device&gt;</code>
161		
162		
163	<b>XML NMTOKEN</b>	The data type for XML identifiers. It <b>MUST</b> start with a letter, an underscore “_” or a colon “:” and then it <b>MUST</b> be followed by a letter, a number, or one of the following “.”, “-”, “_”, “:”. An NMTOKEN cannot have any spaces or special characters.
164		
165		
166		

## 167 2.2 Terminology and Conventions

168 Please refer to Section 2 of *Part 1, Overview and Protocol* for XML Terminology and  
169 Documentation conventions.

### 170 3 Streams, Samples, Events, and Condition

171 The MTConnect *Agent* collects data from various sources and delivers it to applications in  
172 response to *Sample* or *Current* requests. (See *Protocol* section in *Part 1*.) All the data is  
173 collected into streams and organized by device and then by component. A component stream has  
174 three parts: *Samples*, *Events*, and *Condition*.

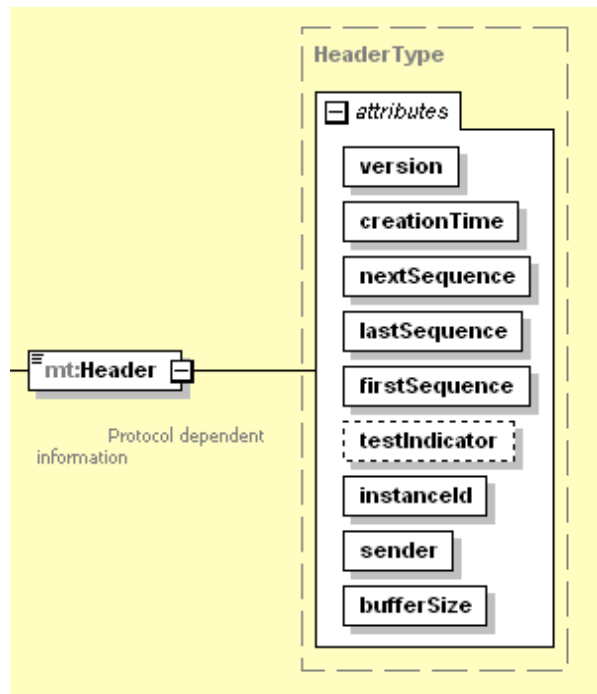
175 *Samples* are point-in-time readings from a component reporting what the value is at that  
176 instant.

177 *Events* change state to a limited set of values or represent a message. It is assumed that an  
178 event remains at a state until the next occurrence of the event occurs; it cannot have any  
179 intermediate values between the reported values. The following are examples of *Events*:  
180 *Block*, *Execution*, *Message* etc.

181 A *Condition* communicates the device's health and ability to function. It can be one of  
182 UNAVAILABLE, NORMAL, WARNING, or FAULT and there can be multiple active conditions at  
183 one time; whereas a *sample* or *event* can only have a single value at one point in time.

#### 184 3.1 Streams Response Header

185 Every MTConnect<sup>®</sup> response **MUST** contain a header as the first XML element below the root  
186 element of any MTConnect<sup>®</sup> XML Document sent back to an application. (See *Header* in *Part*  
187 *1, Section 4.5* for details on the Header structure)



188

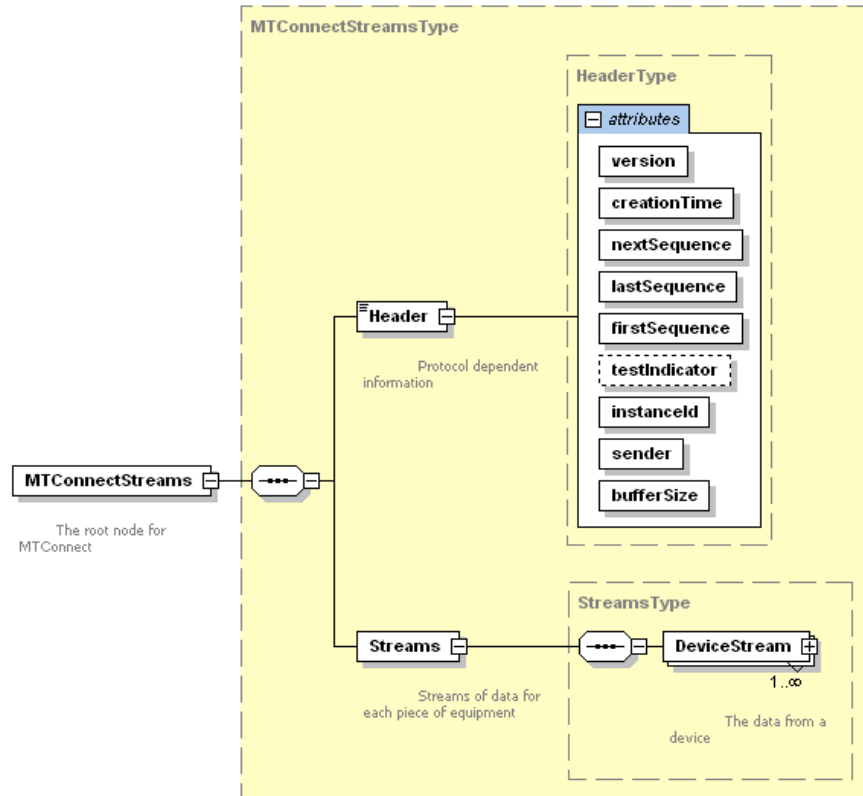
189

**Figure 1: Header Schema Diagram for MTConnectStreams**

190

191 **3.2 Streams Structure**

192 A Streams XML element is the high level container for all device streams. Its function is to  
 193 contain DeviceStream sub-elements. There **MUST** be no attributes or other type XML  
 194 elements within the Streams element.



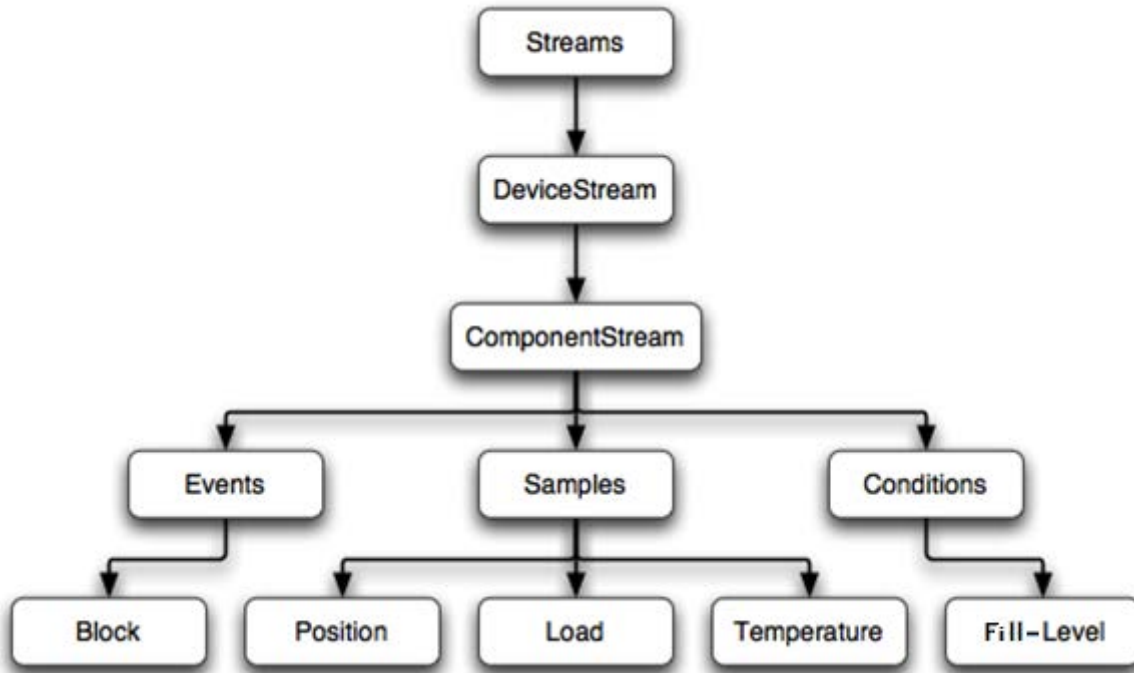
195  
 196  
 197

**Figure 2: Streams Schema Diagram**

Elements	Description	Occurrence
DeviceStream	The stream of Samples, Events, and Condition for each device.	1..INF

198  
 199 Streams **MUST** have at least one DeviceStream and the DeviceStream **MAY** have one  
 200 or more ComponentStream elements, depending on whether there are events or samples  
 201 available for the component. If there are no ComponentStream elements, then no data will  
 202 be delivered for this request.  
 203

204 The following diagram illustrates the structure of the Streams with some Samples, Events,  
205 and Condition at the lowest level:



206

207

**Figure 3: streams Example Structure**

208

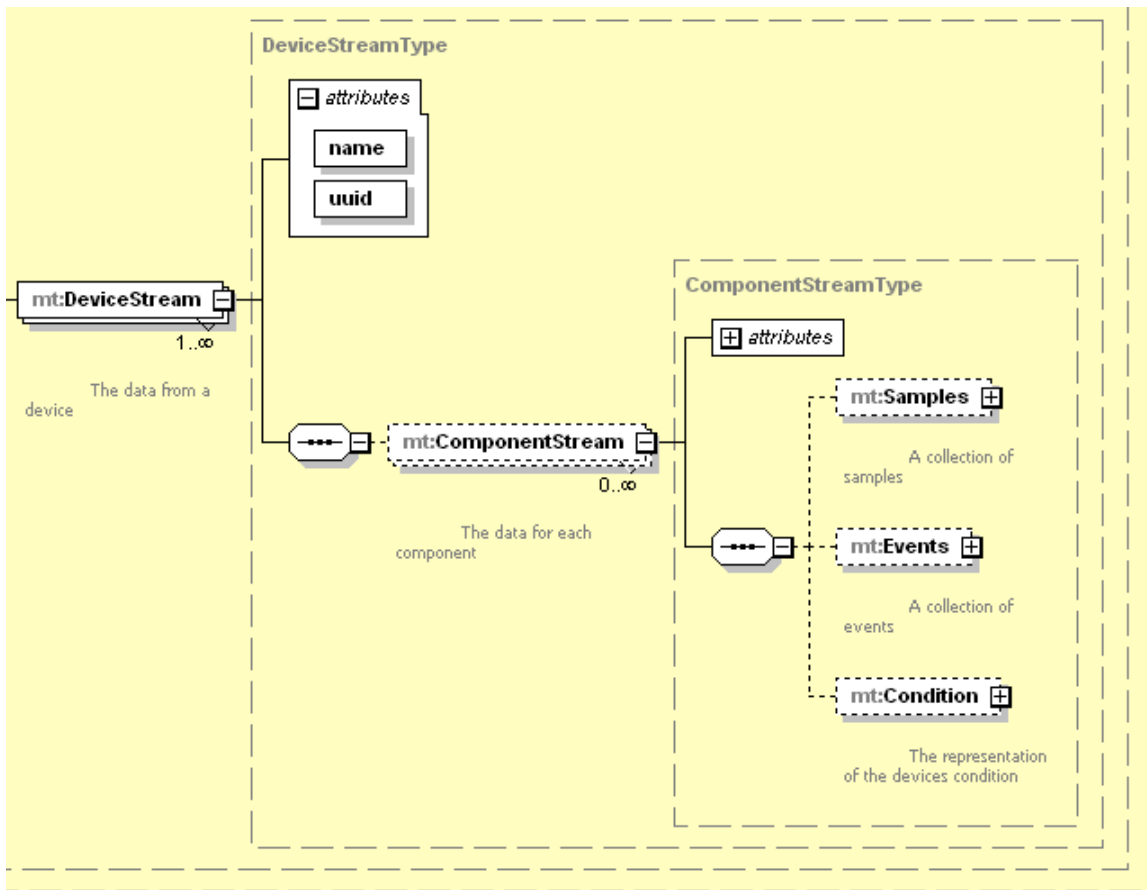
209 Below is an example XML Document response for an *Agent* with two devices, mill-1 and mill-2.  
210 The data is reported in two separate device streams.

```
211 <MTConnectStreams ...>  
212   <Header ... />  
213   <Streams>  
214     <DeviceStream name="mill-1" uuid="1">  
215       <ComponentStream component="Device" name="mill-1" componentId="d1">  
216         <Events>  
217           <Availability dataItemId="avail1" name=="avail" sequence="5"  
218             timestamp="2010-04-06T06:19:35.153141">AVAILABLE</Availability>  
219         </Events>  
220       </ComponentStream>  
221     </DeviceStream>  
222     <DeviceStream name="mill-2" uuid="2">  
223       <ComponentStream component="Device" name="mill-2" componentId="d2">  
224         <Events>  
225           <Availability dataItemId="avail2" name="avail" sequence="15"  
226             timestamp="2010-04-06T06:19:35.153141">AVAILABLE</Availability>  
227         </Events>  
228       </ComponentStream>  
229     </DeviceStream>  
230   </Streams>  
231 </MTConnectStreams>
```

232 The sequence numbers are unique across the two devices in the example above. The applications  
 233 **MUST NOT** assume that the event and sample sequence numbers are strictly in sequence. All  
 234 sequence numbers **MAY NOT** be included. An example of this case would occur when a Path  
 235 argument is provided and all the Samples, Events, and Condition are not selected or  
 236 when the Agent is supporting more than one device and data from only one device is requested.  
 237 Refer to *MTConnect<sup>®</sup> Part 1, Overview and Protocol, Section 5: Protocol* for more information.

### 238 3.3 DeviceStream

239 A DeviceStream is created to hold the device-specific information like the name of the  
 240 device and its UUID, so it does not need to be repeated for every event and sample. This is done  
 241 to reduce the size of each event and sample so they only carry the information that is being  
 242 reported. A DeviceStream **MAY** contain one or more ComponentStream elements. If the  
 243 request is valid and there are no events or samples that match the criteria, an empty  
 244 DeviceStream element **MUST** be created to indicate that the device exists, but there was no  
 245 data available.



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246

247

**Figure 4: DeviceStream Schema**

248

249 3.3.1 DeviceStream Attributes

Attributes	Description	Occurrence
name	The device's name. An NMTOKEN XML type.	1
uuid	The device's unique identifier	1

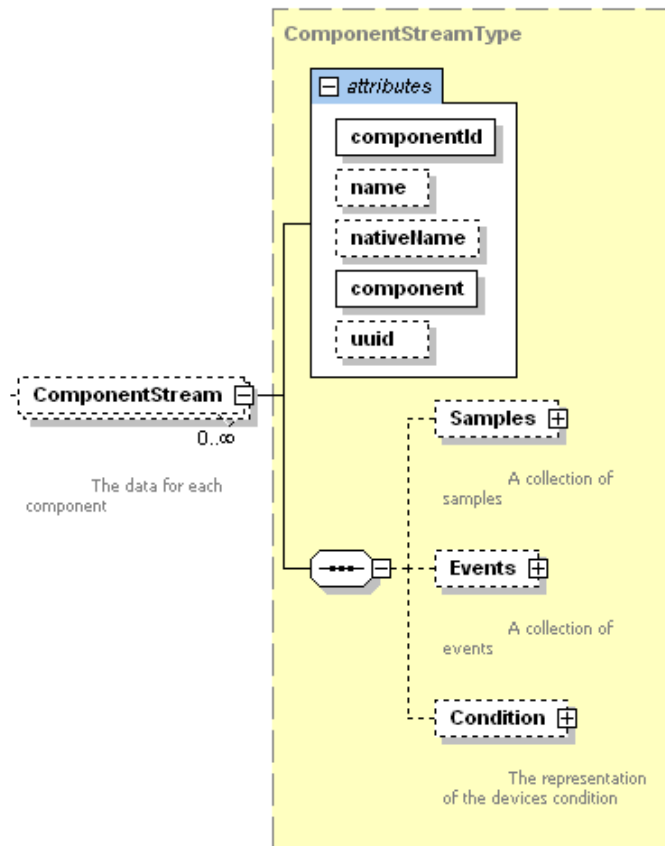
250

251 3.3.2 DeviceStream Elements

Element	Description	Occurrence
ComponentStream	One component's stream for each component with data	0..INF

252

253 3.4 ComponentStream



254

255 **Figure 5: ComponentStream Schema**

256 A ComponentStream is similar to the DeviceStream. It contains the information specific  
 257 to the component within the Device. The uuid only needs to be specified if the Component  
 258 has a uuid assigned.

259 **3.4.1 ComponentStream Attributes**

Attribute	Description	Occurrence
name	This component's name within the device. An NMTOKEN XML type.	0..1
nativeName	The name the device manufacturer assigned to the component. If the native name is not provided it <b>MUST</b> be the name.	0..1
component	The XLM element name for the component	1
uuid	The component's unique identifier	0..1
componentId	Corresponds to the id attribute of the component in the probe request (Refer to Probe in Part 1).	1

260 The XML elements of the ComponentStream classify the data into Events, Samples,  
 261 and Condition. (*The classification is discussed below*). The ComponentStream **MUST**  
 262 **NOT** be empty. It **MUST** include an Events and/or a Samples XML element.

263 **3.4.2 ComponentStream Elements**

Element	Description	Occurrence
Events	The events for this component stream	0..1
Samples	The samples for this component	0..1
Condition	The condition of the device.	0..1

264

265 **3.5 Types and Subtypes of Data Items**

266 What follows is the association between the various types and subtypes of data items. Each data  
 267 item type **MUST** be translated into a Sample, Event, or Condition with the following  
 268 rules:

- 269 • The type name will be all in capitals with an underscore (\_) between words.
- 270 • The XML element of the event or sample will be the transformation of the data item type  
 271 by capitalizing the first character of each word and then removing the underscore. For  
 272 example, the data item type DOOR\_STATE is DoorState, POSITION is Position,  
 273 and ROTARY\_VELOCITY is RotaryVelocity.

274 The following example shows the transformation between the DataItem name as returned in a  
 275 Probe request and the corresponding structured data returned in a Stream XML element  
 276 returned from a Current or Sample request. In the Probe request, each DataItem defines  
 277 its DataItem type, category, and (if applicable) the subType.

278 The probe request will return the response below.

```
279 <Path name="path" id="p1">
280   <DataItems>
281     <DataItem type="PATH_POSITION" category="EVENT" id="p2"
282       subType="ACTUAL" name="Zact" />
283     <DataItem type="CONTROLLER_MODE" category="EVENT" id="p3"
284       name="mode" />
285     <DataItem type="PROGRAM" category="EVENT" id="p4" name="program" />
286     <DataItem type="EXECUTION" category="EVENT" id="p5"
287       name="execution" />
288     <DataItem type="BLOCK" category="EVENT" id="p6" name="block" />
289   </DataItems>
290 </Path>
291
292
```

293 The transformation from the Probe (*as defined in Part 1 of the standard*) to the Current or  
294 Sample will occur per the example below. This example also illustrates how the subType is  
295 placed in the ComponentStream. In the Current and Sample request, data items will be  
296 returned in the ComponentStream grouped into their respective categories. Also note how  
297 the CONTROLLER\_MODE was changed to ControllerMode in the current request below.

```
298 <ComponentStream componentId="p1" component="Path"
299   name="path">
300   <Events>
301     <PathPosition dataItemId="p2" timestamp="2009-03-
302       04T19:45:50.458305" subType="ACTUAL" name="Zact"
303       sequence="150651130">7.02</PathPosition>
304     <Block dataItemId="p6" timestamp="2009-03-04T19:45:50.458305"
305       name="block" sequence="150651134">x0.371524 y-0.483808</Block>
306     <ControllerMode dataItemId="p3" timestamp="2009-02-
307       26T02:02:35.716224" name="mode"
308       sequence="182">AUTOMATIC</ControllerMode>
309   </Events>
310 </ComponentStream>
311
```



## 3.6 Samples and Events

All `Samples` and `Events` values **MUST** be able to provide `UNAVAILABLE` as a valid value when the data source is not connected or the data source is unable to retrieve information. The `UNAVAILABLE` value will persist until the connection is restored and a new value can be retrieved. This state does not imply the device is no longer operational, it only implies that the state cannot be determined.

## 3.7 Samples

The `Samples` XML element **MUST** contain at least one `Sample` element. The `Samples` XML element acts only as a container for all the `Sample` XML elements to provide a logical structure to the XML Document.

Element	Description	Occurrence
<code>Sample</code>	The sub-element of <code>Samples</code> for this component stream	1..INF

322

## 3.8 Sample

A `Sample` is an abstract type. This means there will never be an actual element called `Sample`, but any XML element that is a sub-type of `Sample` can be used as a sub-element of `Samples`. Examples of sample sub-types are `Position`, `Load`, and `Angle`. `Sample` types **MUST** have numeric values.

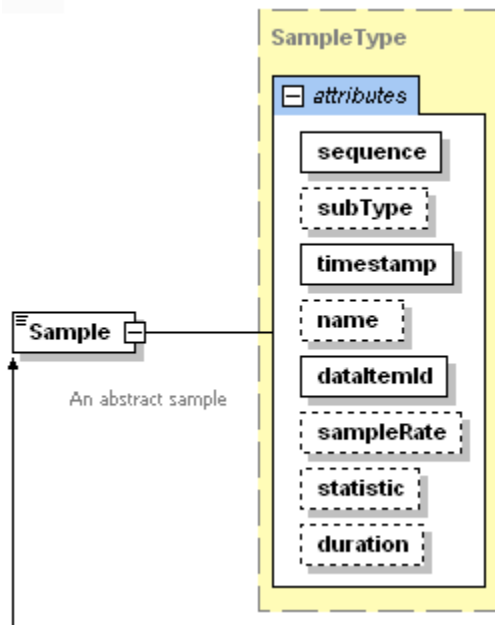
If two adjacent samples for the same `Component` and `DataItem` have the same value, the second sample **MUST NOT** be sent to the client application and does not need to be retained by the *MTConnect Agent*. This will greatly reduce the amount of information sent to the application. The application can always assume that if the sample is not present, it has the previous value.

For `DataItems` containing an attribute for `duration`, the `timestamp` associated with the sample references the time the sample value was reported or the statistics were computed, **NOT** the time the interval began. The time the interval began can be computed by subtracting the `duration` from the `timestamp`. Two samples can have overlapping intervals as in the case where statistics are computed at various frequencies.

For example, a one minute average and a five minute average can both have the same start time (Lets say 05:10:00), but their timestamps will be 05:11:00 with a duration of 60 seconds for the one minute average and a timestamp of 05:15:00 with a duration of 300 seconds for the five minute average. This allows for varying statistical methods to be applied with different interval lengths without having duplicate timestamps and durations. If a statistical data item does not report for a period greater than the previous duration, it can be assumed the computed value has not changed since the last value.

The same concepts are used for time-series samples as well where the `timestamp` of the series is set to the time the last value was recorded and the `timestamp` minus the `duration` is the time the

347 first sample was recorded. See *Part 3, Section 3.8.2* for more information on Time Series  
 348 samples.



349

350

**Figure 6: Sample Schema**

351

352 **3.8.1 Sample attributes:**

Attribute	Description	Occurrence
name	The name <b>MUST</b> match the name of the <code>DataItem</code> this <code>Sample</code> is associated with. It <b>MUST</b> be an <code>NMTOKEN</code> XML type.	0..1
sequence	The sequence number of this event. The value <b>MUST</b> be represented as an unsigned 64 bit with valid values from 1 to $2^{64}-1$ .	1
timestamp	The time the sample value was reported or the statistics were computed. The timestamp <b>MUST</b> always represent the end of the collection interval when a <code>duration</code> or a <code>TimeSeries</code> is provided. The most accurate time available to the device <b>MUST</b> be used for the timestamp.	1
dataItemID	The <code>id</code> attribute of the corresponding data retrieved in the probe request.	1
subType	The sub-type of the <code>DataItem</code>	0..1

Attribute	Description	Occurrence
sampleRate	The rate at which successive samples of a DataItem are recorded. Sample rate is expressed in terms of samples per second. If the sample rate is smaller than one, the number can be represented as a floating point number. For example, a rate 1 per 10 seconds would be 0.1 The sampleRate attribute <b>MUST</b> be included in the <i>TimeSeries</i> streams element if it is not constant OR if it is not in the DataItem. If the sampleRate is constant it <b>MAY</b> be placed in the DataItem and does not need to be repeated in the streams element.	0..1
statistic	The type of statistical calculation specified for the DataItem	0..1
duration	The time elapsed since the statistic calculation was last reset	0..1

353

354 A Sample **MUST** contain CDATA as the content between the element tags. A position is  
355 formatted like this:

356 1. <Position sequence="112" timestamp="2007-08-09T12:32:45.1232" name="Xabs"  
357 dataItemId="10">123.3333</Position>

358

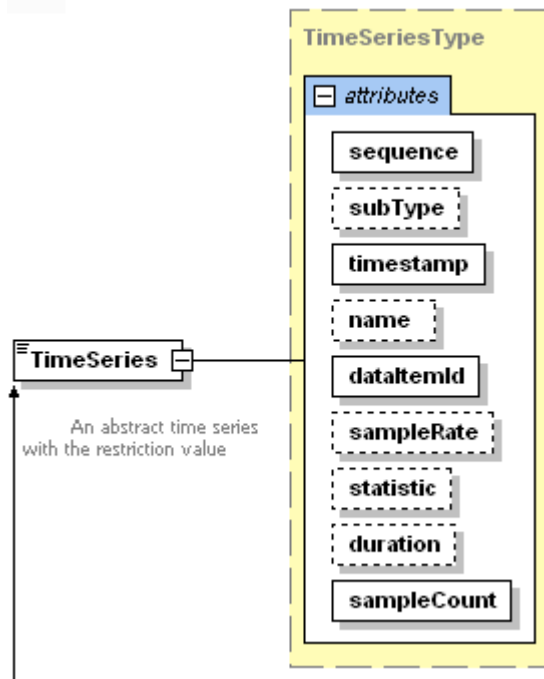
359 In this example the 123.3333 is the CDATA for the position. All the CDATA in a Sample is  
360 typed, meaning that it can be validated using an XML parser. This restricts the format of the  
361 values to a specific pattern.

### 362 3.8.2 Time Series

363 A Time Series is a Sample which includes multiple readings of a DataItem taken at a  
364 specified sample rate. A time series can be used for collecting high frequency samples of a  
365 DataItem and then providing the series of samples to an application as a single DataItem.  
366 A time series contains the same attributes as a Sample, plus one additional attribute  
367 sampleCount. For a Time Series, sampleRate defines the time period (frequency) for the  
368 collection of each reading of the DataItem and sampleCount defines the total number of  
369 readings being transmitted. The CDATA **MUST** be a series of floating point numbers. The  
370 number of readings **MUST** match the sampleCount. The units for a Time Series **MUST** be  
371 the same as specified for the DataItem.

372 The XML element of the Sample for a DataItem with an attribute of representation  
373 will be the transformation of the DataItem type by capitalizing the first character of each word  
374 and then removing the underscore and adding the representation type. For example,  
375 ANGULAR\_VELOCITY with representation defined as TimeSeries **MUST** be  
376 AngularVelocityTimeSeries. If representation is not defined or it is VALUE,  
377 then the transformation **MUST** be AngularVelocity.

378



379

380

Figure 7: Time Series Schema

381

### 3.8.3 Time Series attributes:

383

Attribute	Description	Occurrence
sampleCount	The number of readings of a DataItem provided in a Time Series.	1

384

### 3.8.4 Sample XML Element Tag Names

The following is a list of all the XML elements that can be placed in the Samples section of the ComponentStream. All Samples have a numeric value as the CDATA or UNAVAILABLE if the data is in an indeterminate state.

**Acceleration** The acceleration of a linear component **MUST** always be reported in MILLIMETER/SECOND<sup>2</sup>. An Acceleration **MUST** have a numeric value.

**AccumulatedTime** The accumulated time associated with a component. The AccumulatedTime **MUST** have a numeric value and **MUST** be reported in SECOND.

394

395 **Amperage** The current in an electrical circuit. The Amperage **MUST** have a numeric  
396 value and **MUST** be reported in AMPERE.

397 **Angle** An Angle **MUST** always be reported in DEGREE and **MUST** always have a  
398 numeric CDATE value as a floating point number.

399 **AngularAcceleration** The angular acceleration of the component as measured in  
400 DEGREE/SECOND<sup>2</sup>. An Acceleration **MUST** have a numeric value.

401 **AngularVelocity** An angular velocity represents the rate of change in angle. An  
402 AngularVelocity **MUST** always be reported in DEGREE/SECOND and  
403 **MUST** always have a numeric CDATE value as a floating point number.

404 **AxisFeedrate** Axis Feedrate is defined as the rate of motion of the linear axis of the tool  
405 relative to the workpiece<sup>1</sup>. An AxisFeedrate **MUST** always be reported  
406 in MILLIMETER/SECOND ~~or PERCENT for OVERRIDE~~ and **MUST** always  
407 have a numeric CDATE value as a floating point number.

408 **ClockTime** The reading of a timing device at a specific point in time. The time **MUST**  
409 have a value reported in W3C ISO 8601 format of YYYY-MM-  
410 DDThh:mm:ss.ffff

411 **Concentration** Percentage of one component within a mixture of components. The  
412 Concentration **MUST** have a value reported in PERCENT.

413 **Conductivity** The ability of a material to conduct electricity. The Conductivity  
414 **MUST** have a value reported in SIEMENS/METER.

415 **Displacement** The displacement measured as the change in position of an object. The  
416 Displacement **MUST** have a value reported in MILLIMETER.

417 **ElectricalEnergy** The measurement of electrical energy consumed by a component.  
418 ElectricalEnergy **MUST** have a value reported in WATT\_SECONDS.

419 **Flow** The rate of flow of a fluid. The Flow **MUST** have a value reported in  
420 LITER/SECOND.

421 **Frequency** The rate measurement of the number of occurrences of a repeating event per  
422 unit time. The Frequency **MUST** have a numeric value and **MUST** be  
423 reported in HERTZ.

424 **FillLevel** The measurement of the amount of a substance remaining compared to the  
425 planned maximum amount of that substance. The FillLevel **MUST** be  
426 reported in PERCENT.

427 **Length** The length of an object, usually a piece of material or stock. The Length  
428 **MUST** be report in MILLIMETER.

---

<sup>1</sup> From ASME B5.54 - 2005

429 **LinearForce** The measurement of the amount of push or pull introduced by an actuator or  
430 exerted on an object. The **LinearForce** **MUST** be reported in NEWTON.

431 **Load** The measurement of the percent of the standard rating of a device. The **Load**  
432 **MUST** always be reported in PERCENT and **MUST** always have a numeric  
433 CDATA value as a floating point number.

434 **Mass** The measurement of the mass of an object(s) or an amount of material. The  
435 **Mass** **MUST** be reported in KILOGRAM.

436 **PathFeedrate** Path Feedrate is defined as the rate of motion of the feed path of the tool  
437 relative to the workpiece<sup>2</sup>. A **PathFeedrate** **MUST** always be reported in  
438 MILLIMETER/SECOND **MUST** always have a numeric CDATA value as a  
439 floating point number.

440 **PathPosition** The program position as given in 3 dimensional space. This position **MUST**  
441 default to WORK coordinates, if the WORK coordinates are defined, and **MUST**  
442 be given as a space delimited vector of floating point numbers given in  
443 MILLIMETER\_3D units. The **PathPosition** will be given in the  
444 following format and **MUST** be listed in order X, Y, and Z:  
445 <PathPosition ...>10.123 55.232 100.981</PathPosition>  
446 Where X = 10.123, Y = 55.232, and Z=100.981.

447 **PH** The measure of acidity or alkalinity. The **PH** **MUST** be a numeric value and  
448 **MUST** be provided in PH.

449 ~~**GlobalPosition** The global position is the three space coordinate of the tool. A global  
450 position **MUST** always be reported in MILLIMETER and **MUST** always have  
451 a numeric CDATA value as three floating point numbers (x, y, and z). **Position**  
452 **MUST** always be given in absolute coordinates. DEPRECATED in Release  
453 1.1~~

454 **Position** A position represents the location along a linear axis. A **Position** **MUST**  
455 always be reported in MILLIMETER and **MUST** always have a numeric  
456 CDATA value as a floating point number. The default coordinate system for  
457 **Position** **MUST** be MACHINE\_COORDINATES.

458 **PowerFactor** The measurement of the ratio of real power flowing to a load to the apparent  
459 power in that AC circuit. The **PowerFactor** **MUST** be a numeric value and  
460 **MUST** be provided in PERCENT.

461 **Pressure** The force per unit area exerted by a gas or liquid. **Pressure** **MUST** be a  
462 numeric value and **MUST** be provided in PASCAL.

---

<sup>2</sup> From ASME B5.54 - 2005

463 **Resistance** The measure of the degree to which an object opposes an electrical current  
464 through it. The **Resistance** **MUST** be a numeric value and **MUST** be  
465 provided in OHM.

466 **RotaryVelocity** The rate of rotation of a rotary axis. A **RotaryVelocity** speed  
467 **MUST** always be reported in REVOLUTION/MINUTE.

468 **SoundLevel** The measure of acoustic sound level or sound pressure level. The  
469 **SoundLevel** **MUST** be provided in DECIBEL.

470 ~~**SpindleSpeed** The rate of rotation of a machine spindle<sup>3</sup>. A spindle speed **MUST** always be~~  
471 ~~reported in REVOLUTION/MINUTE and **MUST** always have a numeric~~  
472 ~~CDATA value as a floating point number. DEPRICATED in Release 1.2. See~~  
473 ~~RotaryVelocity.~~

474 **Strain** The measured amount of deformation per unit length of an object. **Strain**  
475 **MUST** be reported as PERCENT.

476 **Temperature** Temperature **MUST** always be reported in degrees CELSIUS and **MUST**  
477 always have a numeric CDATA value as a floating point number.

478 **Tilt** The measured amount of angular displacement of an object. **Tilt** **MUST** be  
479 reported as MICRO\_RADIAN.

480 **Torque** The turning force exerted on an object or by an object. **Torque** **MUST** be  
481 reported in units of NEWTON\_METER and **MUST** have a numeric CDATA  
482 value as a floating point number.

483 **Velocity** A velocity represents the rate of change in position along one or more linear  
484 axis. When given as a sample for the **Axes** component, it represents the  
485 magnitude of the velocity vector for all given axis, similar to a path feedrate.  
486 A **Velocity** **MUST** always be reported in MILLIMETER/SECOND and  
487 **MUST** always have a numeric CDATA value as a floating point number.

488 **Viscosity** The measurement of a fluid's resistance to flow. **Viscosity** **MUST** be  
489 reported as PASCAL\_SECOND.

490 **Voltage** The measurement of electrical potential between two points. The **Voltage**  
491 **MUST** have a numeric value and **MUST** be reported in VOLT.

492 **VoltAmpere** The measurement of apparent power in an electrical circuit, equal to the  
493 product of the RMS voltage and RMS current. The **VoltAmpere** **MUST**  
494 have a numeric value and **MUST** be reported in VOLT\_AMPERE.

495 **VoltAmpereReactive** The measurement of reactive power in an AC electrical circuit. The  
496 **VoltAmpereReactive** **MUST** have a numeric value and **MUST** be  
497 reported in VOLT\_AMPERE\_REACTIVE.

---

<sup>3</sup> From ASME B5.54 - 2005

498 **Wattage** The electrical power (volt-amperes) consumed or dissipated by an electrical  
 499 circuit or device. The **Wattage** **MUST** have a numeric value and **MUST** be  
 500 reported in WATT.

### 501 3.8.5 Extensibility

502 Additional **Sample** types can be added by extending the **Sample** type in the XML schema.  
 503 The **Samples** presented here are the official **Sample** types that will be supported by all  
 504 **MTConnect Agents**. Any non-sanctioned extensions will not be guaranteed to have consistency  
 505 across implementations.

## 506 3.9 Events

507 The **Events** XML element **MUST** contain at least one **Event** element. The **Events** element  
 508 acts only as a container for all the **Event** XML elements to provide a logical structure to the  
 509 XML Document.

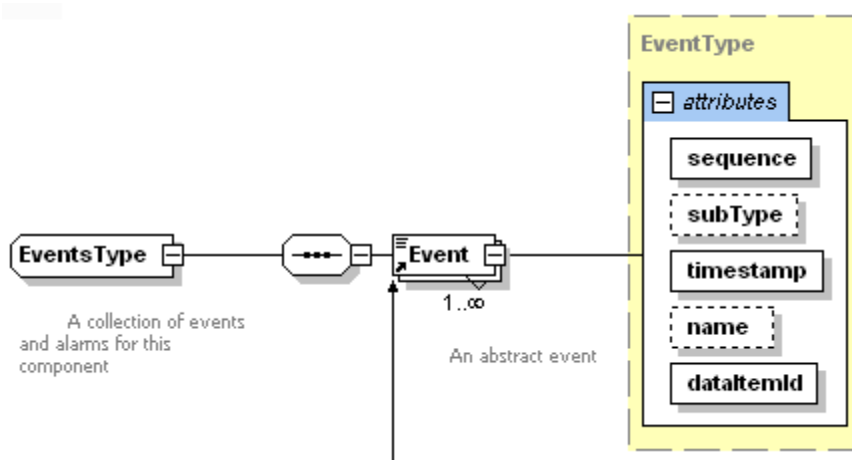
Element	Description	Occurrence
Event	The subtype of Event for this component stream	1..INF

510

### 511 3.10 Event

512 An **Event** is an abstract type. This means there will never be an actual element called **Event**,  
 513 but any XML element that is a sub-type of **Event** can be used in place of **Event**. Examples of  
 514 event sub-types are **Block**, **Execution**, and **Line**. **Event** types **MAY** have values defined  
 515 by a controlled vocabulary as specified in *Section 3.10.2* or **MAY** contain a character string  
 516 representing data provided by the device.

517 An **Event** is similar to a **Sample**, but its values are going to be changing with unpredictable  
 518 frequency. **Events** do not have intermediate values. When **Availability** transitions from  
 519 **UNAVAILABLE** to **AVAILABLE**, there is no intermediate state that can be inferred. Therefore,  
 520 most **Events** have a controlled vocabulary as their content.



521

522

**Figure 8: Event Schema**



523 3.10.1 Event attributes:

Attribute	Description	Occurrence
name	The name <b>MUST</b> match the name of the DataItem this sample is associated with. It <b>MUST</b> be an NMTOKEN XML type.	0..1
sequence	The sequence number of this event. The value <b>MUST</b> be represented as an unsigned 64 bit with valid values from 1 to 2 <sup>64</sup> -1.	1
timestamp	The timestamp of the sample. The most accurate time available to the device <b>MUST</b> be used for the timestamp	1
dataItemID	The id attribute of the corresponding data retrieved in the probe request.	1
subType	The sub-type of the dataItem	0..1

524 3.10.2 Discrete Events

525 If the representation of the DataItem is specified as DISCRETE it indicates that each  
 526 occurrence of the data may have the same value as the previous occurrence of the data. There is  
 527 no reported state change between occurrences of the data.

528 In this case, duplicate occurrences of the same data value **SHOULD NOT** be suppressed.

529 Examples of a DISCRETE data type would be a Parts Counter that reports the completion of  
 530 each part, versus the accumulation of parts. Also, Message does not typically have a reset  
 531 state and may re-occur each time an event specific message is triggered.

532 The XML element of the Event for a DataItem with an attribute of representation will  
 533 be the transformation of the DataItem type by capitalizing the first character of each word and  
 534 then removing the underscore and adding the representation type. For example, PART\_COUNT  
 535 with representation defined as DISCRETE **MUST** be PartCountDiscrete. If  
 536 representation is not defined or it is VALUE, then the transformation **MUST** be  
 537 PartCount.

538 3.10.3 Event Element Tag Names

539 The Event XML elements represent the state of various device attributes. The following is a list  
 540 of all the event elements that may be placed within the Events section of the  
 541 ComponentStream.

542 **ActiveAxes** The set of axes being controlled by a Path. The value **MUST** be a space  
 543 delimited set of axes names. For example:  
 544 <ActiveAxes ...>X Y Z C</ActiveAxes>  
 545 If this is not provided, it **MUST** assumed the Path is controlling all the axes.

546 **ActuatorState** An actuator state represents a device for moving or controlling a  
 547 mechanism or system. The CDATA **MUST** be as follows:

Value	Description
ACTIVE	The actuator is operating or active
INACTIVE	The actuator is not operating or inactive

548

549 **AxisFeedrateOverride** A percentage override to adjust the feed rate of a linear  
550 axis. The CDATA **MUST** be a numeric value, either an integer or decimal  
551 floating point number. The subType specifies the specific feedrate that has  
552 been overridden.

553 **AxisState** An indicator of the current axis homed position and motion. The CDATA  
554 **MUST** be as follows:

Value	Description
HOME	The axis is in its home position.
TRAVEL	The axis is in motion
STOPPED	The axis is stopped

555

556 **Availability** Represents the component's ability to communicate its availability. This  
557 **MUST** be provided for the device and **MAY** be provided for all other  
558 components.

Value	Description
AVAILABLE	The component is available.
UNAVAILABLE	The component is not available.

559 **AxisCoupling** Describes the way the axes will be associated to each other. This is used in  
560 conjunction with COUPLED\_AXES to indicate the way they are interacting.

Value	Description
TANDEM	The axes are physically connected to each other and <b>MUST</b> operate as a single unit.
SYNCHRONOUS	The axes are coupled and are operating together in lockstep.
MASTER	The axis is the master of the CoupledAxes
SLAVE	The axis is a slave of the CoupledAxes

561

562 **Block** A block of code is a command being executed by the Controller. The **Block**  
 563 **MUST** include the entire command with all the parameters.

564 ~~**Code** The code is just the G, M, or NC code being executed. The **Code** **MUST** only~~  
 565 ~~contain the simplest form of the executing command. **DEPRECATED in Rel.**~~  
 566 ~~**1.1.** Duplicates **Block**.~~

567 **ChuckInterlock** An interlock that prevents the chuck from being operated. The subType  
 568 specifies the operation that is currently blocked. The CDATA **MUST** be as  
 569 follows:

Value	Description
ACTIVE	The chuck cannot be operated.
INACTIVE	The chuck can be operated.

570

571 **ChuckState** The open closed state of the chuck. The CDATA **MUST** be as follows:

Value	Description
OPEN	The chuck is open to the point of a positive confirmation
CLOSED	The chuck is closed to the point of a positive confirmation
UNLATCHED	The chuck is not closed to the point of a positive confirmation and not open to the point of a positive confirmation

572

573 **ControllerMode** The Mode of the Controller. The CDATA **MUST** be one of the following:

Value	Description
AUTOMATIC	The controller is configured to automatically execute a program.
SEMI_AUTOMATIC	The controller is operating in a single cycle mode.
MANUAL	The controller is under manual control by the operator.
MANUAL_DATA_INPUT	The operator can enter operations for the controller to perform. There is no current program being executed.
EDIT	The controller is currently editing a program in the foreground.

Value	Description
SINGLE_BLOCK	The machine is executing single block or instruction.

574

575 **CoupledAxes** As a Linear or Rotary axis data item, refers to the set of associated axes  
576 to be used in conjunction with AxisCoupling. The value will be a space  
577 delimited set of axes names. For example:  
578 `<CoupledAxes ...>Y1 Y2</CoupledAxes >`

579 **Direction** A Direction indicates the direction of rotation. The CDATA MUST be as follows:  
580

Value	Description
CLOCKWISE	The rotary component is rotating in a clockwise fashion using the right hand rule.
COUNTER_CLOCKWISE	The rotary component is rotating in a counter clockwise fashion using the right hand rule.
POSITIVE	A linear component moving in the direction of increasing position value
NEGATIVE	A linear component moving in the direction of decreasing position value

581

582 **DoorState** A DoorState represents an opening that can be opened or closed. The  
583 CDATA MUST be as follows:

Value	Description
OPEN	The door is open to the point of a positive confirmation
CLOSED	The door is closed to the point of a positive confirmation
UNLATCHED	The door is not closed to the point of a positive confirmation and not open to the point of a positive confirmation

584

585 **EndOfBar** A YES/NO value indicating that the end of bar has been reached.

Value	Description
YES	The end of bar has been reached
NO	The end of bar has not been reached

586

587 **Execution** The Execution state of the Controller. The CDATA **MUST** be one of the  
588 following:

Value	Description
READY	The controller is ready to execute. It is currently idle.
ACTIVE	The controller is actively executing an instruction.
INTERRUPTED	The operator has paused execution of the controller and the program is waiting to be continued.
STOPPED	The program has been stopped without completion and cannot be resumed. The stop was not the intent of the programmer and was initiated by the machine or operator.
FEED_HOLD	The controller has is in a feed hold and motion has been stopped.
PROGRAM_STOPPED	The program has been stopped.
PROGRAM_COMPLETED	The program has completed execution.
PROGRAM_OPTIONAL_STOP	The program has been intentionally optionally stopped using an M01 or similar code.

589

590 **EmergencyStop** The emergency stop state of the machine, device, or controller path. The  
591 CDATA **MUST** be one of the following :

Value	Description
ARMED	The circuit is complete and the device is operating.
TRIGGERED	The circuit is open and the device <b>MUST</b> cease operation.

592

593 ~~**FeedrateOverride** — A percentage override to adjust the surface feed rate of the tool for  
594 this path a linear axis. The CDATA **MUST** be a numeric value, either an  
595 integer or decimal floating point number. The subType specifies the specific  
596 feedrate that has been overridden.~~

597 **FunctionalMode** The overall status of the device or component for production. The  
598 CDATA **MUST** be one of the following:

Value	Description
-------	-------------

Value	Description
PRODUCTION	The device is ready for automated production.
SETUP	The device is being setup for a new part type.
TEARDOWN	The current setup is being removed from the device.
MAINTENANCE	The device is being repaired or routine service is being performed.
PROCESS_DEVELOPMENT	The device is being used to prove-out a new process.

599  
600  
601

**InterfaceState** The connection state of the interface. This indicates if the entire component is active. The CDATA **MUST** be as follows:

Value	Description
ENABLED	The interface is currently operational.
DISABLED	The interface is not operational.

602  
603  
604  
605  
606  
607  
608

**Line** This event refers to the optional program line number. For example in RS274/NGC, the line number begins with an N and is followed by 1 to 5 digits (0 – 99999). If there is not an assigned line number in the programming system as in RS274, the line number will refer to the position in the executing program. The line number **MUST** be an alpha-numeric value.

609

**Message** A text notification. Format **MAY** be any valid text string.

610

**OperatorId** An identifier of the person operating the device.

611

**PalletId** This is a reference to an identifier for the current pallet available at the device.

612

**PartCount** The number of parts produced. This will not be counted by the agent and **MUST** only be supplied if the controller provides the count.

613

614

**PartId** This is a reference to an identifier for the current part being machined. It is a placeholder for now and can be used at the discretion of the implementation.

615

616

**PathMode** The `PathMode` is provided for devices that are controlling multiple motion paths and their associated axes. When `PathMode` is not provided, it **MUST** be assumed to be INDEPENDENT.

617

618

Value	Description
-------	-------------

Value	Description
INDEPENDENT	The path is operating independently and without the influence of another path.
MASTER	The path provides the reference motion from which a Synchronous or Mirror Path will follow
SYNCHRONOUS	The path and its associated axes are operating synchronously with the Master path.
MIRROR	The path and its associated axes are mirroring the Master path.

619

620 **PathFeedrateOverride** A percentage override to adjust the feed rate of this path.  
621 The CDATA **MUST** be a numeric value, either an integer or decimal floating  
622 point number. The subType specifies the specific feedrate that has been  
623 overridden.

624 ~~**PowerStatus** Power status **MUST** be either ON or OFF. DEPRECATED in Rel. 1.1~~

Value	Description
<del>ON</del>	<del>The power to the component is ON.</del>
<del>OFF</del>	<del>The power to the component is OFF.</del>

625 **PowerState** Power state of a device or component. DEPRECATION WARNING: **MAY**  
626 be deprecated in the future.

Value	Description
ON	The power to the component is ON.
OFF	The power to the component is OFF.

627

628 **Program** The name of the program executing in the controller. This is usually the name  
629 of the file containing the program instructions.

630 **ProgramEdit** This refers to the controls program editing states. On many controls, a  
631 program can be edited while another program is currently being executed. The  
632 CDATA **MUST** be as follows:

Value	Description
ACTIVE	A program is currently being edited.

Value	Description
READY	The controller is capable of editing a program, but it is not currently editing a program.
NOT_READY	The controller is in a state where it cannot edit a program.

- 633
- 634 **ProgramEditName** The name of the program being edited. This is used in conjunction  
635 with PROGRAM\_EDIT when in ACTIVE state.
- 636 **ProgramComment** The latest active comment in the executing program. A comment is non-  
637 executable code within the instruction stream.
- 638 **ProgramHeader** The header section of the current executing program. The content  
639 **SHOULD** be limited to 512 bytes.
- 640 **RotaryMode** The mode in which the rotary axis is currently operating. The CDATA **MUST**  
641 be one of the following:

Value	Description
SPINDLE	The axis is as a spindle.
INDEX	The axis configured for indexing to a position.
CONTOUR	The axis is interpolating its position as part of the path position defined by the controller.

- 642
- 643 **RotaryVelocityOverride** The percentage override to adjust the programmed spindle  
644 speed. The CDATA **MUST** be a numeric value, either an integer or decimal  
645 floating point number. ~~The subType specifies the specific feedrate that has~~  
646 ~~been overridden.~~
- 647 **SpindleInterlock** An indicator of the spindle lockout when power has been removed  
648 and it is free to rotate. The CDATA **MUST** be as follows:

Value	Description
ACTIVE	The spindle cannot be operated.
INACTIVE	The spindle can be operated.

- 649 **ToolId** ~~Deprecated in Rel. 1.2. See ToolAssetID. This is a~~  
650 ~~reference to an identifier for the current tool in use by the Path. It is a~~  
651 ~~placeholder for now and can be used at the discretion of the implementation.~~  
652 ~~Once mobile assets have been defined, this will refer to the corresponding~~  
653 ~~asset.~~



654 **ToolAssetId** This is a reference to an identifier for the current tool in use by the Path.  
 655 **WorkholdingId** This is a reference to an identifier for the current work holding or part  
 656 clamp available to the device.

657 **3.10.4 Interface Event Element Tag Names**

658 An interface event is the same as all other events, except they all share the same set of controlled  
 659 values and the behavior signifies a specific interaction between devices. There is a detailed  
 660 discussion of the interaction of the interfaces in *Part 3.1: Interfaces*. This document will list the  
 661 available defined interfaces. The interfaces CDATA **MUST** be limited to the following values:

VALUE	Description
NOT_READY	The request or response is not ready to perform the action
READY	The request or response is in an idle.
ACTIVE	The request or response is actively performing the action.
FAIL	The request or response has failed to perform the action
COMPLETE	The response is now completed.

662  
 663 The following are the currently defined set of interfaces:  
 664 **MaterialFeed** Requests material is fed into a device from a feeder.  
 665 **MaterialChange** Requests the device change the type of material being loaded or fed.  
 666 **MaterialRetract** Requests the material be removed from the device by retraction.  
 667 **PartChange** Requests that the type of part being made be changed. Coupled with PART\_ID  
 668 to indicate the part.

669 **MaterialLoad** A request for material to be loaded into the device.  
 670 **MaterialUnload** A request for material to be unloaded from the device.  
 671 **Open** A request for the device to open the target of the interface.  
 672 **Close** A request for the device to close the target of the interface.

673 **3.11 Condition**

674 Condition provides a method by which the machine can communicate its health and ability to  
 675 function. A condition can be one of Normal, Warning, Fault, or Unavailable. A  
 676 Component **MAY** have multiple active conditions at one time whereas a Sample or Event  
 677 can only have a single value at a point in time.

678 3.11.1 Types of Condition

679 • **Normal**

680 The item being monitored is operating normally and no action is required. Normal also  
681 indicates a Fault or Warning condition has been cleared if the item was previously  
682 identified with Fault or Warning.

683 • **Warning**

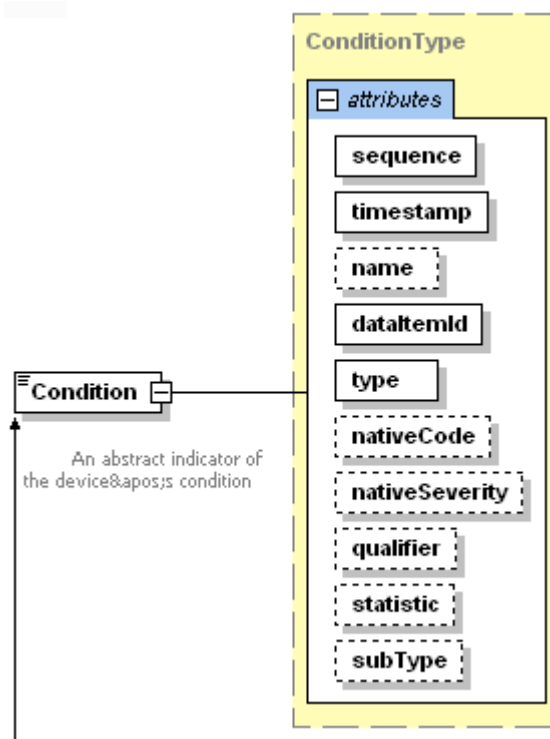
684 The item being monitored is moving into the abnormal range and should be observed.  
685 No action is required at this time. Transition to a Normal condition indicates that the  
686 Warning condition has been cleared.

687 • **Fault**

688 The item has failed and intervention is required to return to a Normal condition.  
689 Transition to a Normal condition indicates that the Fault condition has been cleared.  
690 A Fault condition is something that always needs to be acknowledged before operation  
691 can continue. Faults are sometimes noted as an alarm.

692 • **Unavailable**

693 The value of the item is in an indeterminate state since the data source is no longer  
694 providing data. This will also be the initial state of the Condition before a  
695 connection is established with the data source. The Condition **MUST** be  
696 Unavailable when the value is unknown.



697

698

699

Figure 9: Condition Schema

700

701 3.11.2 Condition **Attributes**

Attribute	Description	Occurrence
sequence	The sequence number of this event. The value <b>MUST</b> be represented as an unsigned 64 bit with valid values from 1 to 2 <sup>64</sup> -1.	1
timestamp	The timestamp of the Sample. The most accurate time available to the device <b>MUST</b> be used for the timestamp	1
dataItemID	The id attribute of the corresponding data retrieved in the Probe request.	1
name	The name <b>MUST</b> match the name of the event's associated DataItem. An NMOKEN XML type.	0..1
type	The DataItem type this Condition refers to.	1
sub-type	The sub-type of the DataItem this Condition refers to.	0..1
qualifier	Qualifies the Condition and adds context or additional clarification. This optional attribute can be used to convey information like HIGH, LOW, ...	0..1
nativeCode	The native code for the piece of equipment. This is the way the Condition is represented by the component.	0..1
nativeSeverity	The pass thru severity from the device manufacturer.	0..1
statistic	The type of statistical calculation specified for the DataItem	0..1
xs:lang	An optional attribute that specifies language of the alarm or condition text. Refer to IETF RFC 4646 ( <a href="http://www.ietf.org/rfc/rfc4646.txt">http://www.ietf.org/rfc/rfc4646.txt</a> ) or successor for a full definition of the values for this attribute. Does not appear in the Header schema diagrams	0..1

702

703 3.11.3 **Condition Contents - CDATA**

704 The contents are the optional text from the data source in the un-interpreted form. The text is  
705 provided for informational purpose only for interpretation by the application or other client  
706 software.

707 3.11.4 **Condition Types**

708 All existing DataItem types **MAY** be used as types for the Condition types. There are  
709 some additional types that have been added that represent logical parts of the device architecture  
710 and allow for better association and representation of the device's health. The following are the  
711 types specifically added for the Condition.

Data Item type/ qualifier	Description
<b>ACTUATOR</b>	A condition with the motion drive, servo, or actuator.
<b>COMMUNICATIONS</b>	A communications failure indicator.
<b>HARDWARE</b>	The operational condition of the hardware subsystem of the component.
<b>LOGIC_PROGRAM</b>	An error occurred in the logic program or PLC (programmable logic controller).
<b>MOTION_PROGRAM</b>	An error occurred in the motion program.
<b>SYSTEM</b>	A condition representing something that is not the operator, program, or hardware. This is often used to represent operating system issues.

712

713

### 714 3.11.5 Condition Examples

715 The following are abbreviated examples of the use of the Condition elements in XML. The  
716 condition has additional restrictions which are different from the Event and Sample. The  
717 following will demonstrate the differences and usage of the Condition.

```
718 ...
719 <Linear id="y" name="Y">
720   <DataItems>
721     <DataItem type="POSITION" subType="ACTUAL" id="yp" category="SAMPLE"
722       name="Yact" units="MILLIMETER" nativeUnits="MILLIMETER"
723       coordinateSystem="MACHINE"/>
724     <DataItem type="POSITION" id="ylc" category="CONDITION"/>
725     <DataItem type="LOAD" id="ylc" category="CONDITION"/>
726     <DataItem type="TEMPERATURE" id="ytc" category="CONDITION"/>
727   </DataItems>
728 </Linear>
729 ...
730
731 <Controller id="cont" name="controller">
732   <DataItems>
733     <DataItem type="PROGRAM" id="pgm" category="EVENT" name="program"/>
734     <DataItem type="BLOCK" id="blk" category="EVENT" name="block"/>
735     <DataItem type="LINE" id="ln" category="EVENT" name="line"/>
736     <DataItem type="PATH_FEEDRATE" id="pf" category="SAMPLE" name="Fact"
737       units="MILLIMETER/SECOND" nativeUnits="FOOT/MINUTE" subType="ACTUAL"
738       coordinateSystem="WORK"/>
739     <DataItem type="PATH_FEEDRATE" id="pfo" category="SAMPLE" name="Fovr"
740       units="PERCENT" nativeUnits="PERCENT" subType="OVERRIDE"/>
741     <DataItem type="PATH_POSITION" id="pp" category="SAMPLE" name="Ppos"
742       units="MILLIMETER" nativeUnits="MILLIMETER" coordinateSystem="WORK"/>
743     <DataItem type="TOOL_ASSET_ID" id="tid" category="EVENT" name="Tid"/>
744     <DataItem type="PART_ID" id="pid" category="EVENT" name="Pid"/>
745     <DataItem type="EXECUTION" id="exec" category="EVENT" name="execution"/>
746     <DataItem type="CONTROLLER_MODE" id="cm" category="EVENT" name="mode"/>
747
748     <DataItem type="COMMUNICATIONS" id="cc1" category="CONDITION"/>
749     <DataItem type="MOTION_PROGRAM" id="cc2" category="CONDITION"/>
750     <DataItem type="LOGIC_PROGRAM" id="cc3" category="CONDITION"/>
751   </DataItems>
752 </Controller >
753
```

754 In the previous example we have focused on two components, a Linear Y axis and a controller.  
755 They both have Condition associated with them. The axis has a temperature sensor and a  
756 load sensor that will alert when the temperature or load goes out of range. The controller also has  
757 a few Condition associated with the Program and Communications.

758

759 When everything is working properly, a Current request will deliver the following XML:

```
760 <DeviceStream uuid="HM1" name="HMC_3Axis">
761   <ComponentStream component="Linear" name="Y" componentId="y">
762     <Samples>
763       <Position dataItemId="yp" name="Yact" subType="ACTUAL" sequence="23"
764         timestamp="2009-11-13T08:00:00">213.1232</Position>
765     </Samples>
766     <Condition>
767       <Normal type="TEMPERATURE" dataItemId="ytmp" sequence="25"
768         timestamp="..."/>
769       <Normal type="LOAD" dataItemId="ylc" sequence="26" timestamp="..."/>
770       <Normal type="POSITION" dataItemId="ypc" sequence="26"
771         timestamp="..."/>
772     </Condition>
773   </ComponentStream>
774 </DeviceStream>
775 <ComponentStream component="Controller" name="cont" componentId="cont">
776   <Events>
777     ...
778   </Events>
779   <Condition>
780     <Normal type="MOTION_PROGRAM" dataItemId="cc2" sequence="25"
781       timestamp="..."/>
782     <Normal type="COMMUNICATIONS" dataItemId="cc1" sequence="26"
783       timestamp="..."/>
784     <Normal type="LOGIC_PROGRAM" dataItemId="cc3" sequence="26"
785       timestamp="..."/>
786   </Condition>
787 </ComponentStream>
788 </DeviceStream>
```

789 The example below shows all of the Condition items reporting that everything is normal for  
790 the linear axis Y and that the controller has two Condition that are normal, but there is a  
791 Fault of sub-type Communications on the device.

```
792 <DeviceStream uuid="HM1" name="HMC_3Axis">
793   <ComponentStream component="Linear" name="Y" componentId="y">
794     <Samples>
795       <Position dataItemId="yp" name="Yact" subType="ACTUAL" sequence="23"
796         timestamp="2009-11-13T08:00:00">213.1232</Position>
797     </Samples>
798     <Condition>
799       <Normal type="TEMPERATURE" dataItemId="ytmp" sequence="25"
800         timestamp="..."/>
801       <Normal type="LOAD" dataItemId="ylc" sequence="26" timestamp="..."/>
802       <Normal type="POSITION" dataItemId="ypc" sequence="26"
803         timestamp="..."/>
804     </Condition>
805   </ComponentStream>
806 </DeviceStream>
807 <ComponentStream component="Controller" name="cont" componentId="cont">
808   <Events>
809     ...
810   </Events>
811   <Condition>
```

```

812     <Normal type="MOTION_PROGRAM" id="cc2" sequence="25" timestamp="..."/>
813     <Fault type="COMMUNICATIONS" id="cc1" sequence="26" nativeCode="IO1231"
814         timestamp="...">Communications error</Fault>
815     <Normal type="LOGIC_PROGRAM" id="cc3" sequence="26" timestamp="..."/>
816 </Condition>
817 </ComponentStream>
818 </DeviceStream>

```

819 When a failure occurs the item **MUST** be reported as a `Fault`. This indicates that intervention  
820 is required to fix the problem and reset the state of the machine. In the following example, we  
821 show how multiple `Faults` on the same `Condition` can exist.

```

822 </DeviceStream>
823 <ComponentStream component="Controller" name="cont" componentId="cont">
824   <Events>
825     ...
826   </Events>
827   <Condition>
828     <Fault type="MOTION_PROGRAM" dataItemId="cc2" sequence="25"
829         nativeCode="PR1123" timestamp="...">Syntax error on line
830         107</Fault>
831     <Fault type="MOTION_PROGRAM" dataItemId="cc2" sequence="28"
832         nativeCode="PR1123" timestamp="...">Syntax error on line
833         112</Fault>
834     <Fault type="MOTION_PROGRAM" dataItemId="cc2" sequence="30"
835         nativeCode="PR1123" timestamp="...">Syntax error on line
836         122</Fault>
837     <Normal type="COMMUNICATIONS" dataItemId="cc1" sequence="26"
838         timestamp="..."/>
839     <Normal type="LOGIC_PROGRAM" dataItemId="cc3" sequence="26"
840         timestamp="..."/>
841   </Condition>
842 </ComponentStream>
843 </DeviceStream>

```

844 In this case a bad motion program was loaded and multiple errors were reported. When this  
845 occurs all errors **MUST** be provided and classified accordingly. The only exception to having  
846 multiple values per `Condition` is `Normal`. If the `Condition` is `Normal`, there **MUST**  
847 only be one `Condition` with that type present. There **MUST NOT** be more than one  
848 `Normal` and a `Normal` **MUST NOT** occur with a `Fault` or `Warning` of the same type.

849 A `Sample` request **MUST** treat `Condition` items the same way it does `Events` and  
850 `Samples` and only return those that are in the current selection window.

### 851 ~~3.12 Alarms~~ DEPRECATED: See [Condition](#)

852 The ~~Alarm~~ event adds some additional fields to the standard ~~Event~~ schema. The following  
853 additional attributes are used for the alarm:

Attribute	Description	Occurrence
code	The type of alarm. This is a high level classification for all codes.	1

Attribute	Description	Occurrence
severity	The severity of the alarm, currently we have CRITICAL, ERROR, WARNING, or INFORMATION.	1
nativeCode	The native code for the piece of equipment. This is the way the alarm is represented on the component.	1
state	Either INSTANT, ACTIVE or CLEARED. When the Alarm occurs, it will be created with an ACTIVE state. Once it has been addressed, the state will be changed to CLEARED. An INSTANT alarm does not need to be cleared.	1
lang	An optional attribute that specifies language of the alarm text. Refer to IETF RFC 4646 ( <a href="http://www.ietf.org/rfc/rfc4646.txt">http://www.ietf.org/rfc/rfc4646.txt</a> ) or successor for a full definition of the values for this attribute.	0..1

854  
855  
856

The code can have one of the following values:

Enumeration	Description
CRASH	A spindle crashed
JAM	A component jammed.
FAILURE	The component failed.
FAULT	A fault occurred on the component.
STALLED	The component has stalled and cannot move.
OVERLOAD	The component is overloaded.
ESTOP	The ESTOP button was pressed.
MATERIAL	There is a problem with the material.
MESSAGE	A system message.
OTHER	The alarm is not in any of the above categories.

857  
858  
859  
860  
861

The CDATA of the Alarm is the human readable text from the component that raised the alarm. The device should specify this text so it can be logged.



## Appendices

862

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913 *December 15, 2004.*
- 914

## 915 B. Annotated XML Examples

### 916 B.1. Example of a current Request

```
917 <?xml version="1.0" encoding="UTF-8"?>
918 <MTConnectStreams xmlns:m="urn:mtconnect.org:MTConnectStreams:1.1"
919 xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
920 xmlns="urn:mtconnect.org:MTConnectStreams:1.1"
921 xsi:schemaLocation="urn:mtconnect.org:MTConnectStreams:1.1
922 http://www.mtconnect.org/schemas/MTConnectStreams_1.1.xsd">
923   <Header creationTime="2010-04-16T21:19:35+00:00" sender="localhost"
924     instanceId="1267747762" bufferSize="131072" version="1.1"
925     nextSequence="739103692" firstSequence="738972620"
926     lastSequence="739103691" />
927
```

928 The above is a standard header. The buffer size is 131072 entries. The first sequence number is  
929 738972620 and the last sequence number is 739103691, if you subtract and add one, gives  
930 131072 entries; this means the buffer is full. For the next streaming request, you would request  
931 with *from* set to 739103692.

```
932   <Streams>
933     <DeviceStream name="VMC-3Axis" uuid="000">
934       <ComponentStream component="Path" name="path" componentId="pth">
935         <Samples>
936           <PathFeedrate dataItemId="Fovr" sequence="738968517"
937             timestamp=
938               "2010-04-16T21:09:58.356100">100.0000000000</PathFeedrate>
939           <PathFeedrate dataItemId="Frt" sequence="739103685"
940             timestamp="2010-04-16T21:19:07.019367">0</PathFeedrate>
941         </Samples>
942         <Events>
943           <Block dataItemId="cn2" name="block" sequence="739103493"
944             timestamp="2010-04-16T21:19:05.751294">G0Z1</Block>
945           <ControllerMode dataItemId="cn3" name="mode" sequence="738968515"
946             timestamp=
947               "2010-04-16T21:09:58.356100">AUTOMATIC</ControllerMode>
948           <Line dataItemId="cn4" name="line" sequence="739103687"
949             timestamp="2010-04-16T21:19:07.051368">0</Line>
950           <Program dataItemId="cn5" name="program" sequence="738968514"
951             timestamp="2010-04-16T21:09:58.356100">FLANGE_CAM.NGC</Program>
952           <Execution dataItemId="cn6" name="execution" sequence="739103689"
953             timestamp="2010-04-16T21:19:07.063369">READY</Execution>
954         </Events>
955       </ComponentStream>
956     </DeviceStream>
957
```

958 The Path component has both Samples and Events. The information regarding the path  
959 feedrate and feedrate override are considered sampled information in the Path. The events are  
960 related to the execution of the Program for this Path.

```
961     <ComponentStream component="Rotary" name="C" componentId="c1">
962       <Samples>
963         <RotaryVelocity dataItemId="c2" name="Sspeed" sequence="739103691"
964           subType="ACTUAL" timestamp=
965             "2010-04-16T21:19:07.063369">0.0000000000</RotaryVelocity>
966         <RotaryVelocity dataItemId="c3" name="Sovr" sequence="738968518"
967           subType="OVERRIDE" timestamp=
968             "2010-04-16T21:09:58.356100">100.0000000000</RotaryVelocity>
969       </Samples>
970       <Events>
971         <RotaryMode dataItemId="cm" name="Cmode" sequence="2"
972           timestamp="2010-03-05T00:09:22.457383">SPINDLE</RotaryMode>
973       </Events>
974       <Condition>
975         <Normal dataItemId="Cload" sequence="738968524" timestamp=
976           "2010-04-16T21:09:58.356100" type="LOAD" />
977       </Condition>
978     </ComponentStream>
979
```

980 The rotary C axis is the spindle and can be seen by checking the RotaryMode. In this case, it is  
981 constrained to the value SPINDLE and will probably have a native name of "S". There is also a  
982 Condition which is monitoring the spindle load and is currently Normal.

```
983     <ComponentStream component="Linear" name="X" componentId="x1">
984       <Samples>
985         <Position dataItemId="x2" name="Xact" sequence="739103504"
986           subType="ACTUAL" timestamp=
987             "2010-04-16T21:19:05.795297">0.0019900000</Position>
988         <Position dataItemId="x3" name="Xcom" sequence="739103489"
989           subType="COMMANDER" timestamp=
990             "2010-04-16T21:19:05.751294">0.0019900000</Position>
991       </Samples>
992       <Condition>
993         <Normal dataItemId="Xload" sequence="738968525" timestamp=
994           "2010-04-16T21:09:58.356100" type="LOAD" />
995       </Condition>
996     </ComponentStream>
997
```

998 Each of the linear axes has an actual and commanded position that is represented as Samples as  
999 well as a Condition monitoring the load. This is the same pattern for all the linear axes.

```
1000     <ComponentStream component="Linear" name="Y" componentId="y1">
1001         <Samples>
1002             <Position dataItemId="y2" name="Yact" sequence="739103500"
1003                 subType="ACTUAL" timestamp=
1004                 "2010-04-16T21:19:05.783296">0.0002004431</Position>
1005             <Position dataItemId="y3" name="Ycom" sequence="739103490"
1006                 subType="COMMANDED" timestamp=
1007                 "2010-04-16T21:19:05.751294">0.0002000000</Position>
1008         </Samples>
1009         <Condition>
1010             <Normal dataItemId="Yload" sequence="738968526" timestamp=
1011                 "2010-04-16T21:09:58.356100" type="LOAD"/>
1012         </Condition>
1013     </ComponentStream>
1014     <ComponentStream component="Linear" name="Z" componentId="z1">
1015         <Samples>
1016             <Position dataItemId="z2" name="Zact" sequence="739103690"
1017                 subType="ACTUAL" timestamp=
1018                 "2010-04-16T21:19:07.063369">1.0000000000</Position>
1019             <Position dataItemId="z3" name="Zcom" sequence="739103684"
1020                 subType="COMMANDED" timestamp=
1021                 "2010-04-16T21:19:07.019367">1.0000000000</Position>
1022         </Samples>
1023         <Condition>
1024             <Normal dataItemId="Zload" sequence="738968527" timestamp=
1025                 "2010-04-16T21:09:58.356100" type="LOAD"/>
1026         </Condition>
1027     </ComponentStream>
1028     <ComponentStream component="Controller" name="controller"
1029         componentId="cn1">
1030         <Events>
1031             <EmergencyStop dataItemId="estop" sequence="738968519"
1032                 timestamp="2010-04-16T21:09:58.356100">RESET</EmergencyStop>
1033         </Events>
1034         <Condition>
1035             <Normal dataItemId="clp" sequence="738968528" timestamp=
1036                 "2010-04-16T21:09:58.356100" type="LOGIC_PROGRAM"/>
1037         </Condition>
1038     </ComponentStream>
1039
1040
```

1041 Since the Path has included the Execution and Program state, the Controller now  
1042 contains mainly Condition about the hardware and the state of the device.

```
1043     <ComponentStream component="Device" name="VMC-3Axis" componentId="dev">
1044         <Events>
1045             <Availability dataItemId="avail" sequence="9" timestamp=
1046                 "2010-03-05T00:09:22.457383">AVAILABLE</Message>
1047             <Message dataItemId="msg" sequence="29" timestamp=
1048                 "2010-03-05T00:09:22.457383">UNAVAILABLE</Message>
1049         </Events>
1050     </ComponentStream>
1051
```

1052 Availability is the one required Events for the device and it is currently AVAILABLE. If  
1053 the machine is powered off then this will become UNAVAILABLE. There have been no messages  
1054 on this machine, so the message state is currently UNAVAILABLE.

```
1055     <ComponentStream component="Coolant" name="coolant" componentId="cool">
1056         <Condition>
1057             <Normal dataItemId="clow" sequence="738968520" timestamp=
1058                 "2010-04-16T21:09:58.356100" type="FILL_LEVEL"/>
1059         </Condition>
1060     </ComponentStream>
1061     <ComponentStream component="Hydraulic" name="hydraulic"
1062         componentId="hsys">
1063         <Condition>
1064             <Normal dataItemId="hlow" sequence="738968521" timestamp=
1065                 "2010-04-16T21:09:58.356100" type="FILL_LEVEL"/>
1066             <Normal dataItemId="hpres" sequence="738968522" timestamp=
1067                 "2010-04-16T21:09:58.356100" type="PRESSURE"/>
1068             <Normal dataItemId="htemp" nativeCode="HTEMP" qualifier="HIGH"
1069                 sequence="739051314" timestamp="2010-04-16T21:15:42.835731"
1070                 type="TEMPERATURE"/>
1071         </Condition>
1072     </ComponentStream>
1073
```

1074 The previous two components are Systems. Systems will usually report on the Condition  
1075 of the components, as can be seen here it is reporting on the Temperature and the Pressure  
1076 in the Hydraulic (system) and the FillLevel of the Coolant (system).

```
1077     </DeviceStream>
1078 </Streams>
1079 </MTConnectStreams>
```